

Introduction to Security Cooperation Management

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SCHOOL OF SECURITY COOPERATION STUDIES



Security Assistance (SA)

SC Legislation & Policy

Security Assistance

- Economic & military assistance to partner nations under State Department authority
- Supports USG foreign policy and national security objectives
- Deliverables: Can include Defense articles, services, and training
- Terms of Transfer: Sale, grant, loan, or lease



Key Legal and Policy Guidelines for Security Assistance

- Arms Export Control Act (AECA)
 - International Traffic in Arms Regulations (ITAR)
 - Security Assistance Management Manual (SAMMM)
- Foreign Assistance Act (FAA)
 - Security Assistance Management Manual (SAMMM)



Major Security Assistance Programs

Administered by DoD



- Foreign Military Sales (FMS)
- Foreign Military Financing (FMF)
- International Military Education and Training (IMET)
- Excess Defense Articles (EDA)
- Leases of Equipment

Administered by DoS



- Direct Commercial Sales (DCS)
- Economic Support Fund (ESF)
- International Narcotics Control and Law Enforcement (INCLE)
- Nonproliferation, Antiterrorism, Demining, and Related Programs (NADR)
- Peacekeeping Operations (PKO)



Direct Commercial Sales (DCS)

- Administered by DoS in consultation with DoD
- Licensed by State's Directorate of Defense Trade Controls (DDTC) under the International Traffic in Arms Regulations (ITAR)
- Export licenses normally valid for a four year period...and sales may/may not occur
- Generally paid for with partner funding – this program does not include an appropriation
- **Approved FY21 DCS transfers ≈ \$103.4 B**



Economic Support Fund (ESF)

- Administered by USAID
- Provides funding assistance as determined by Secretary of State to countries/organizations to promote economic or political stability
 - Energy independence
 - Economic growth
 - Education
 - Health care

• **\$4.1 B**

- FY 2023 Congressional Budget Justification Request



International Narcotics Control and Law Enforcement (INCLE)

- Administered by DoS/INL
- Provides funding assistance to countries and international organizations for the control of narcotic and psychotropic drugs and other controlled substances, and for other anticrime purposes
- **\$1.46 B**



- FY 2023 Congressional Budget Justification Request



Nonproliferation, Anti-terrorism, Demining, and Related (NADR) Programs

- Administered by DoS/ISN
- Authorizes funding assistance to countries/ organizations to enhance law enforcement personnel in deterring terrorists and halting the proliferation of chemical, nuclear, biological, and conventional weaponry
- **\$900 M**



- FY 2023 Congressional Budget Justification Request

Peacekeeping Operations (PKO)

- Administered by DoS/PM
- Authorizes funding assistance to friendly countries/international organizations for peacekeeping operations and related programs
- Past efforts have trained over 100,000 peacekeepers from 38 countries
- Current emphasis is on increasing partner long-term sustainment capabilities
- **\$463 M; of which \$71 M - Global Peace Operations Initiative (GPOI)**

- FY 2023 Congressional Budget Justification Request



DoD-Administered SA

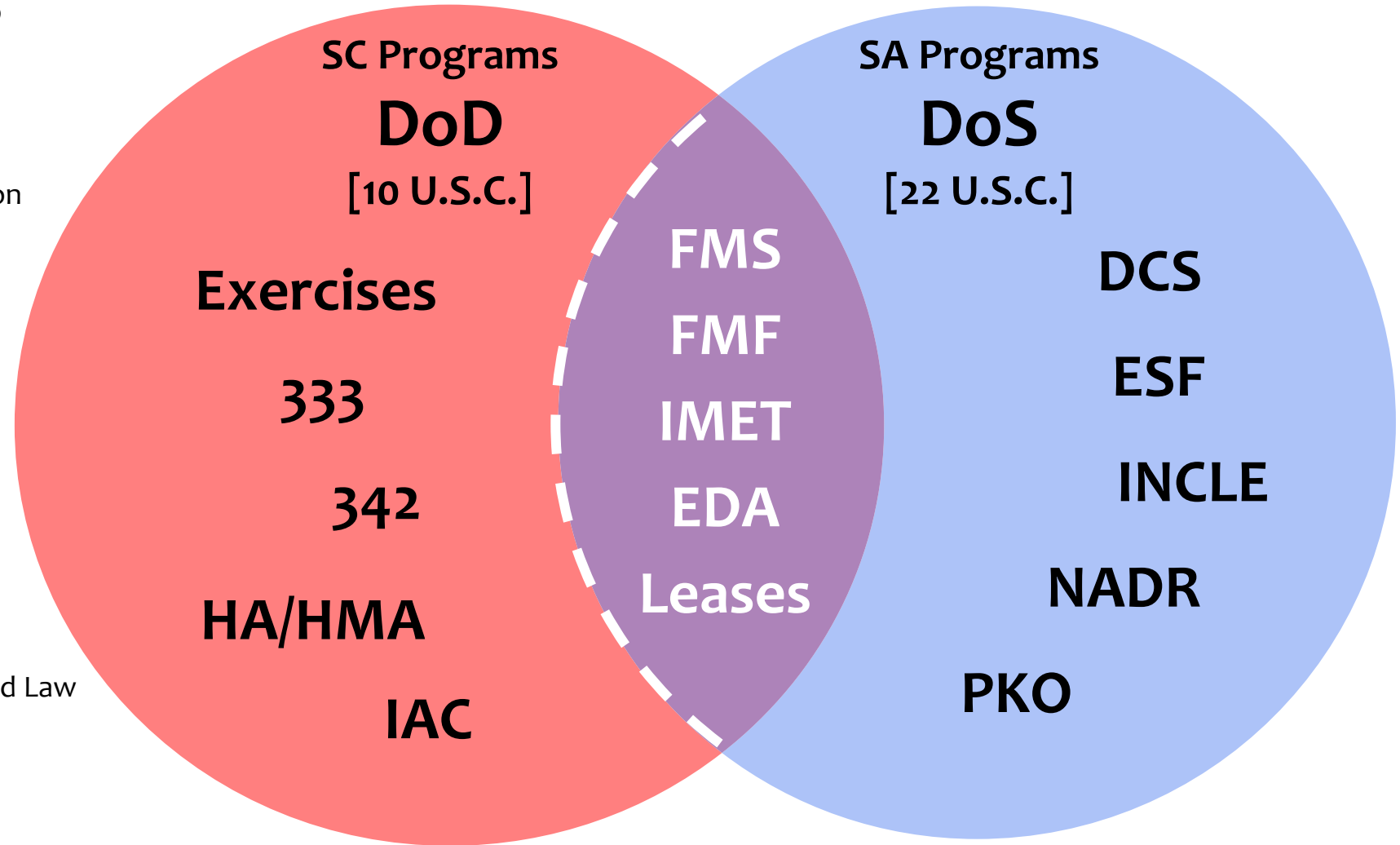
Title 22, USC

Relationship between Security - Assistance - Cooperation

333 – Foreign Security Forces: Authority to Build Capacity
 342 – Regional Centers for Security Studies
 HA/HMA = Humanitarian Assistance/ Humanitarian Mine Action
 IAC = International Armaments Cooperation

FMS = Foreign Military Sales
 FMF = Foreign Military Financing
 IMET = International Military Education and Training
 EDA = Excess Defense Articles

DCS = Direct Commercial Sales
 ESF = Economic Support Fund
 INCLE = International Narcotics Control and Law Enforcement
 NADR = Nonproliferation, Anti-Terrorism, Demining, and Related Programs
 PKO = Peacekeeping Operations



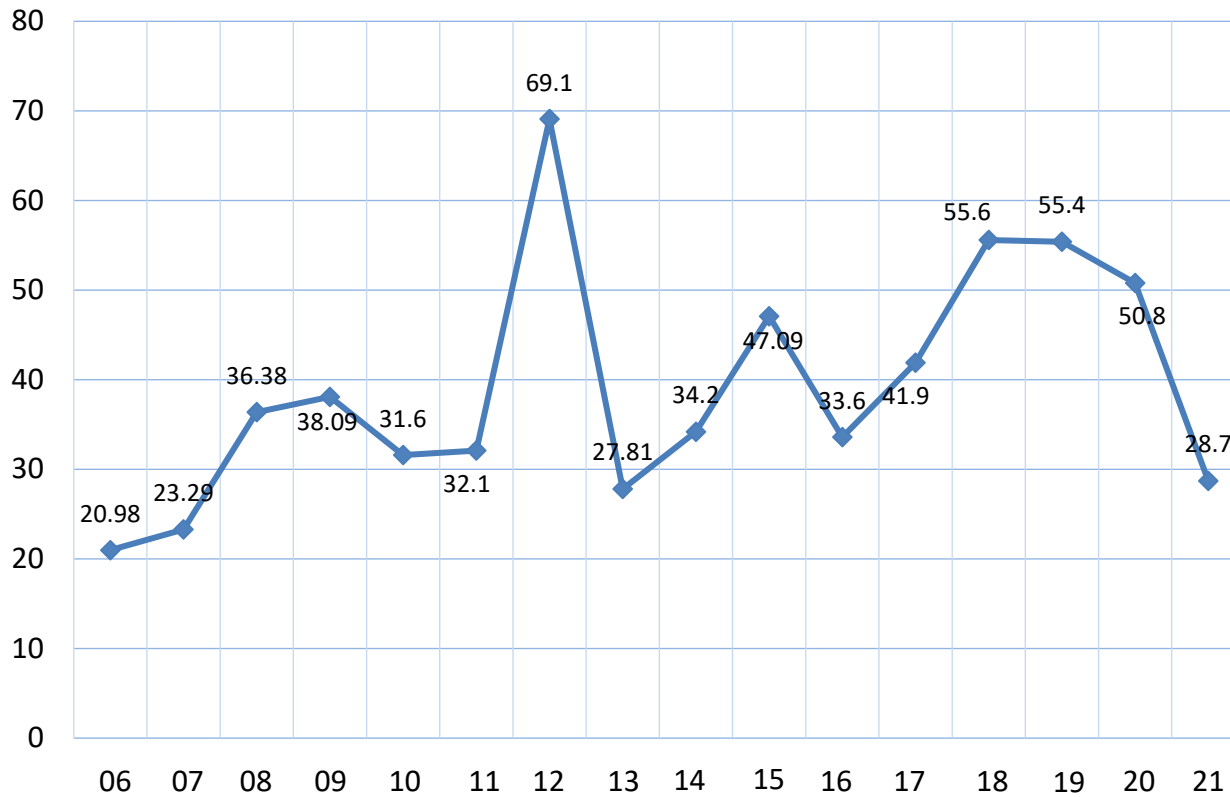
Foreign Military Sales (FMS)

- Administered by DoD/DSCA using the FMS LOA process, under continuous supervision and general direction of State/PM/RSAT
- Permits transfers via sales and leases of:
 - DoD/USCG inventory = stock
 - DoD procurement = new acquisition from U.S. contractors
 - DoD or U.S. contractor-provided training
 - DoD military design/construction services
- **FY21 (implemented cases) ≈ \$28.67B**



FMS – The U.S. Remains the Provider of Choice

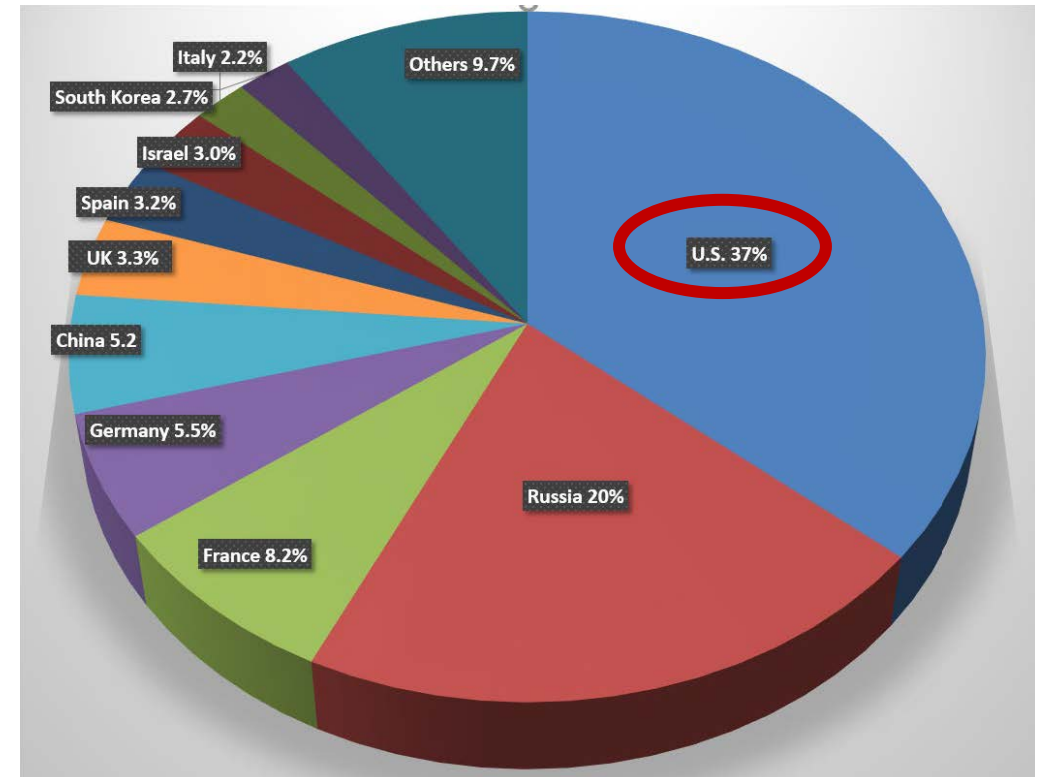
Sales Trends (In Billions of Dollars)



FY21 Statistics

FMS: \$22.2B FMF: \$3.8B Title 10: \$2.7B Total: \$28.7B

2016-2020
Worldwide Arms Exports



<https://www.statista.com/>



Foreign Military Financing (FMF)

- Administered by DoD/DSCA in consultation with DoS/PM and USD(C)
- Supports transfers via USG grants and loans to foreign partners
 - Normally used to fund FMS cases
 - Can be used in very limited situations to fund DCS purchases by select countries

- **\$6.057 B**



- FY 2023 Congressional Budget Justification Request



International Military Education & Training (IMET)

- Administered by DoD/DSCA in consultation with DoS/PM
- Military training to foreign military/civilian personnel on a grant basis
Appropriated to the President and allocated by DoS
 - Can occur in the United States or (with a waiver) overseas
 - Includes the Expanded IMET (E-IMET) subprogram
- Purpose:
 - To transfer military skills and knowledge
 - To enhance U.S. – partner interoperability
 - To expose partners to democratic values and human rights
- **\$113M**
- FY 2023 Congressional Budget Justification Request



Excess Defense Articles (EDA)

- Administered by DoD/DSCA, under continuous supervision general direction of DoS PM/RSAT
- Transfers of excess U.S. stock items via FMS process
 - Articles can be sold at a reduced price (§21, AECA) or via grant transfers (§516, FAA)
 - “As is-where is” principle applies to EDA transfers



Security Cooperation

Title 10, USC

DoD Objectives (The ABCs)

- Gain **Access** – overflight/landing, coastal/port or overland access to move personnel and equipment, or for refueling or resupply enroute
- **Build** Relationships – for potential engagement and participation in future peacekeeping or conflict operations (or not to interfere with them)
- Develop/Enhance **Capabilities** – ensure partner nation has training and equipment to defend itself or to participate in PKO or conflict operations



Security Cooperation Definition

The term “security cooperation programs and activities of the Department of Defense” **means any program, activity (including an exercise), or interaction of the Department of Defense** with the security establishment of a foreign country to achieve a purpose as follows:

- To **build and develop allied and friendly security capabilities** for self-defense and multinational operations.
- To provide the armed forces with **access** to the foreign country during peacetime or a contingency operation.
- To **build relationships** that **promote specific United States security** interests.

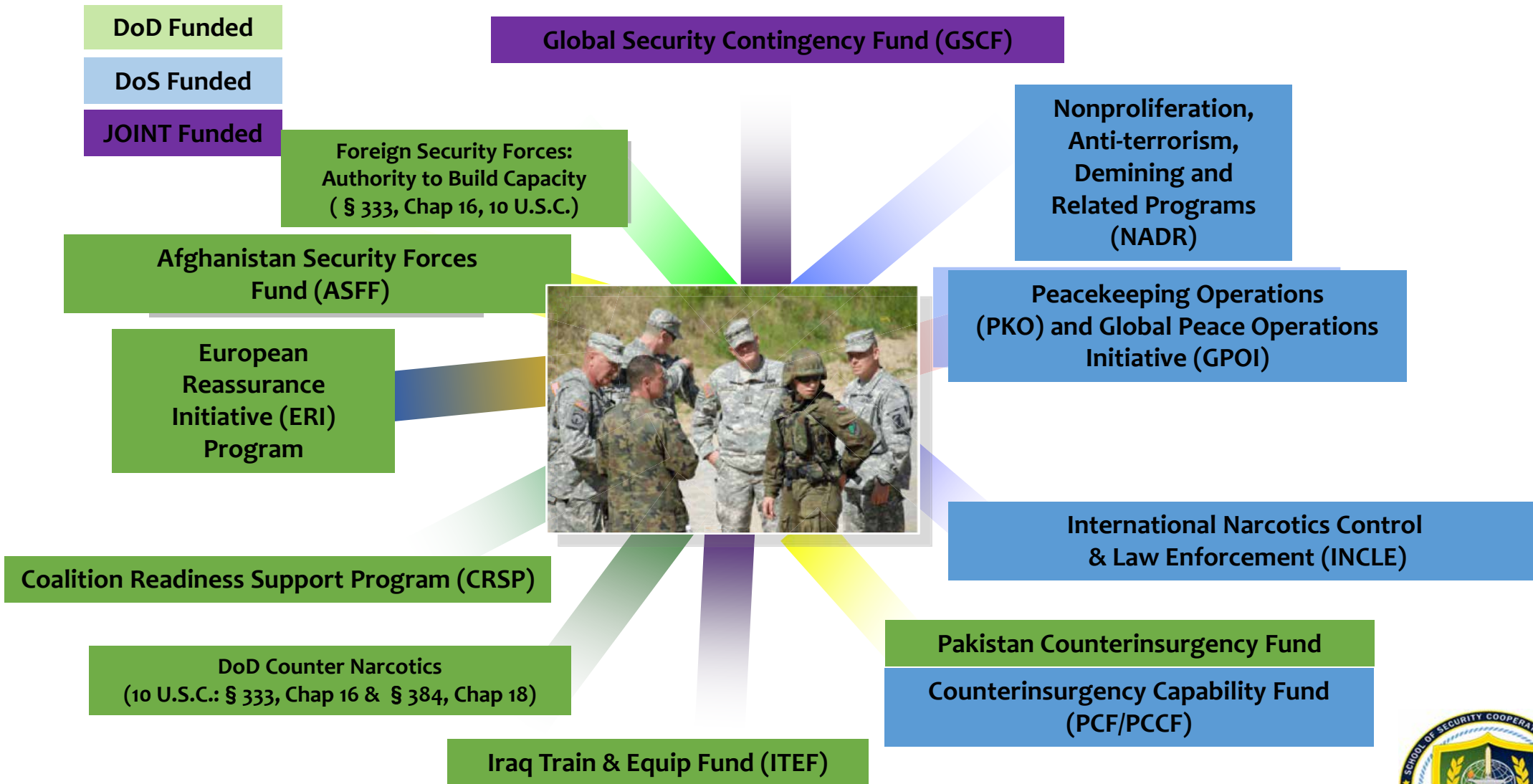


Military Services SC Responsibilities

- Develop and implement SC policy in support of the Secretary of Defense, OSD, and Combatant Commands (CCMDs)
- Coordinate technology transfer decisions
- Provide defense articles, services, and training to partner nations
- Participate in international armaments cooperation
- Provide resources to the SC community



Select SC Programs



Title 10 U.S.C., “Train & Equip” Or Capacity Building

- Several programs - administered by DSCA, coordinated with DoS, and notified to Congress
- DoD provides material assistance and related tactical/operational training to partner countries to develop specific defense capabilities
 - Executed via a “pseudo” LOA process that produces building partner capacity (BPC) LOAs
 - Authorities (and sometimes funding) are provided through many different programs (refer to DSCU SC Programs Handbook)



Dept of State General Responsibilities

Department of State SA Responsibilities

- General direction of foreign assistance
- Program determination by country
- Integration of programs to support U.S. national objectives



Geographic Combatant Commander (CCMD) AORs & DoS Regional Bureaus



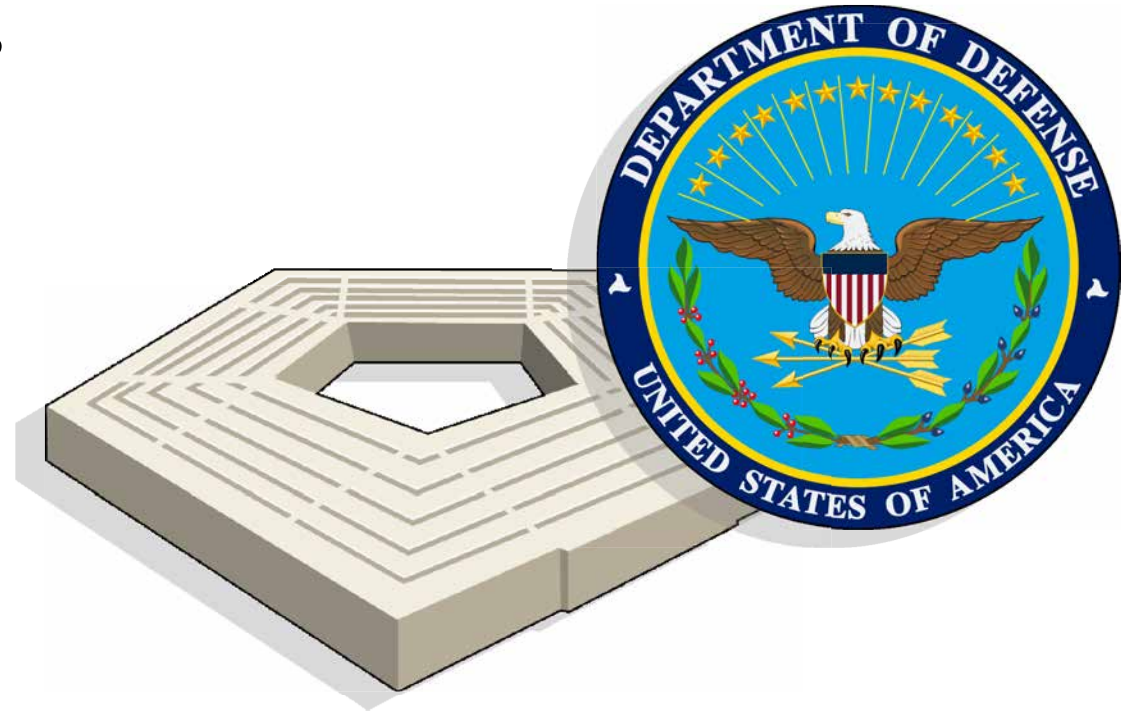
Note: State of Alaska assigned to NORTHCOM area of responsibility. Forces based in Alaska remain assigned to PACOM.



DoD Responsibilities

Department of Defense SA Responsibilities

- Program execution
- Procurement of equipment / services
- Transportation of military articles
- Conduct of military training



Defense Security Cooperation Agency

Department of Defense lead agency for the execution of Security Cooperation programs

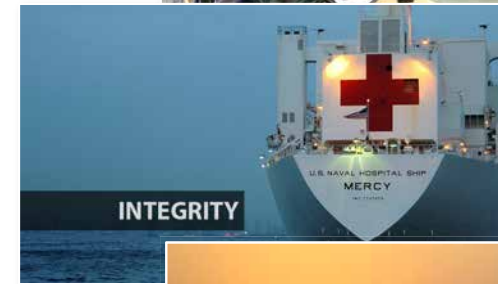


Subject matter expertise across the spectrum of related regional and functional activities



Defense Security Cooperation Agency (DSCA)

- Policy Development
- Budget Allocation
- Trust Fund Management
- Country Program and Financial Management
- Congressional Notification and Reporting
- Congressional Liaison
- LOA Processing; LOA Writing
- Training and Education
- Regional Center Executive Agent
- Humanitarian Assistance and Mine Action



DSCA Perspective on Security Cooperation

It is about building and maintaining relationships

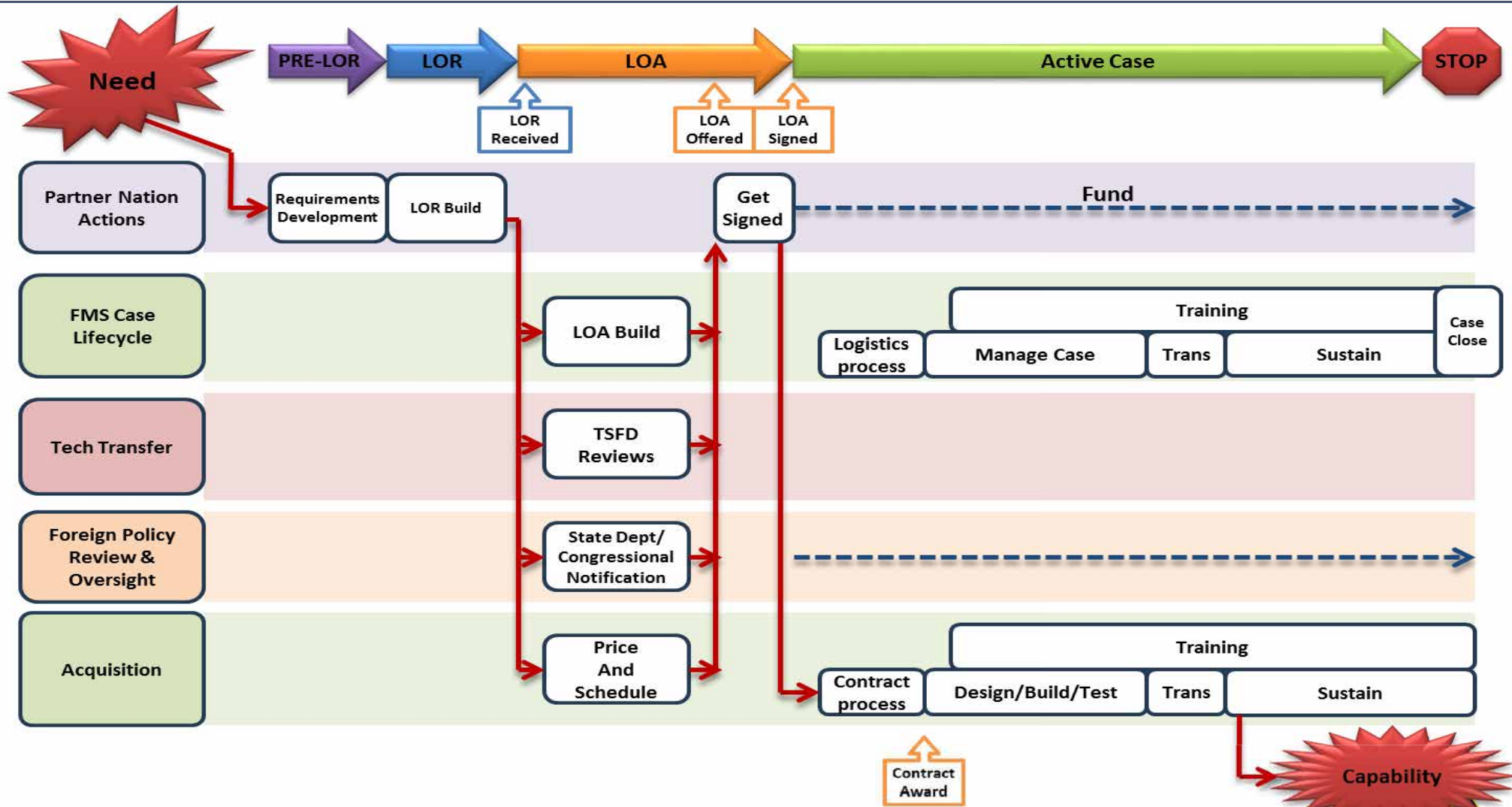
Critical tool of U.S. foreign policy and DoD strategy

In an era of increased competition and diminishing resources, its importance has never been greater

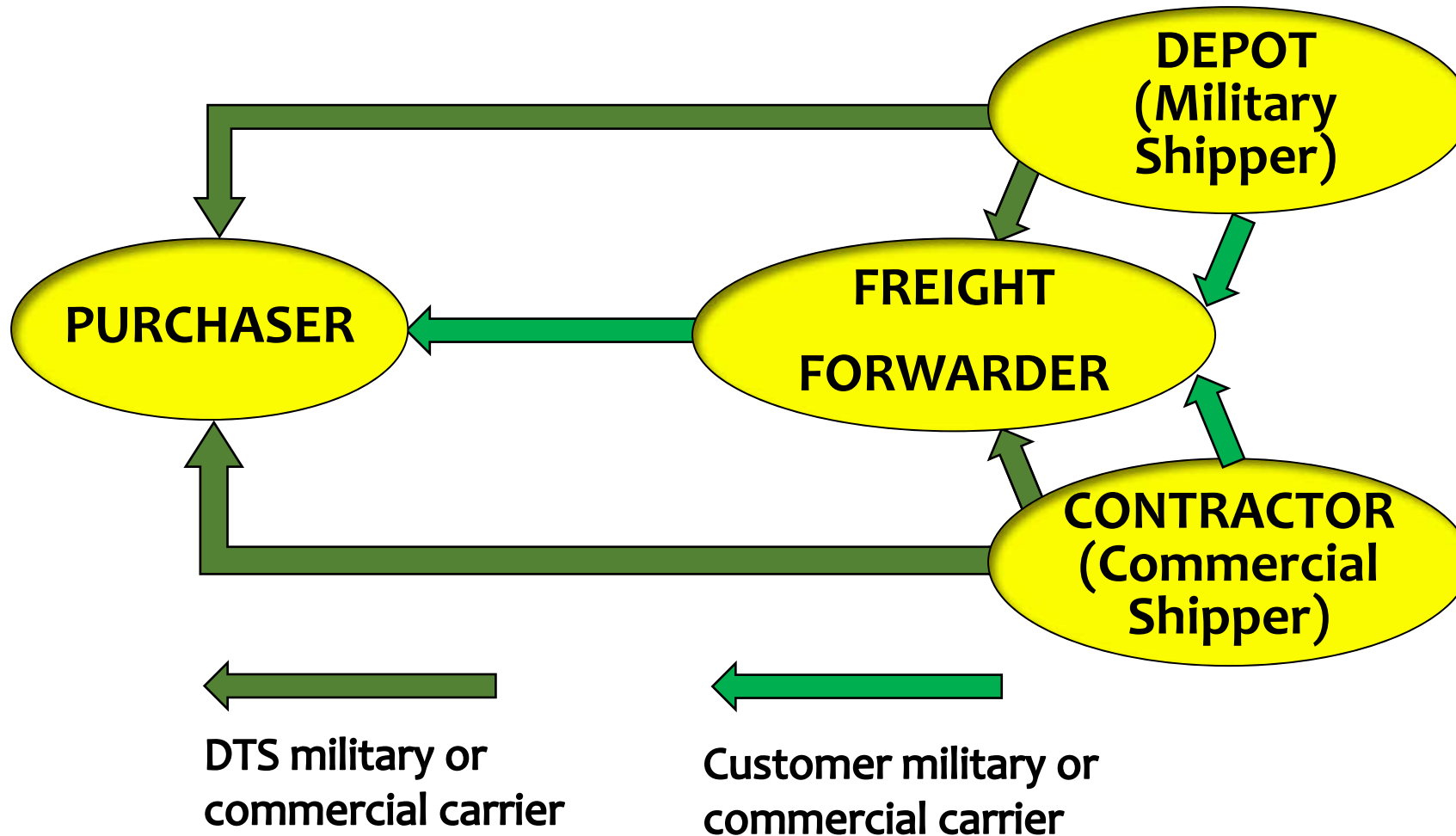
Imperative we posture the Security Cooperation enterprise for success in the new environment



FMS System



FMS Materiel Movement (Outbound)



Definitions

- Shipper: The DoD depot (MILDEP, Defense Logistics Agency (DLA), Defense Contract Management Agency (DCMA), or commercial contractor or suppliers under DoD contract
- Carrier: The trucking company, rail company or barge that moves the material from the shipper to the port, to the freight forwarder, or to the final destination under DTS or freight forwarder contract
- Freight Forwarder: The commercial import/export company hired by the FMS customer to arrange transportation of material to, from or within the US



IPTN-201 - INTERNATIONAL PARTNER SECURITY COOPERATION LOGISTICS & FINANCE

IPTN-201 - INTERNATIONAL PARTNER SECURITY COOP LOGISTICS & FINANCE

This course is divided into three primary areas covered within the context of a Security Cooperation (SC) relationship with the U.S.

1. Overview of legislation and policy; planning, programming, and budgeting; resource management; technology transfer and export controls; SC process; and acquisition and contract administration.
2. Logistics management, including initial support, sustainment, transportation, requisitioning, and discrepancy reports.
3. Financial management, including funds management, pricing policies, and billing procedures.



IPTN-201 Target Audience

- International partner personnel involved with U.S. DoD Security Cooperation programs. Personnel in defense ministries, service headquarters, procurement bureaus, international liaison personnel assigned to U.S. organizations, as well as defense attachés and foreign procurement officials assigned to Washington, D.C.
- Prerequisites:
 - English Comprehension Level (ECL) of 80 or above required



NOTES:

- This course is not available for online/individual registration. See the training manager at the Security Cooperation Office in the U.S. Embassy for registration/quota reservation process and tuition pricing. Quota assignment/seat reservations are handled by the Air Force Security Assistance Training Squadron (AFSAT). The cost of an MTT varies by location, number of students, and course desired.

SCOs positioned in countries considering/desiring an MTT should ensure that their respective CCMD, DSCA IRT and DSCU are made aware of the requirement as soon as possible. DSCU consolidates and coordinates the priority of MTT scheduling in conjunction with its annual Academic Program Plan annually in the Spring for the following fiscal year.

Course Locations, Number of Days, and MASLS:WPAFB Ohio (resident) 15 Days MASL: D178271

- Country/Regional (MTT) 10 Days MASL: D319009



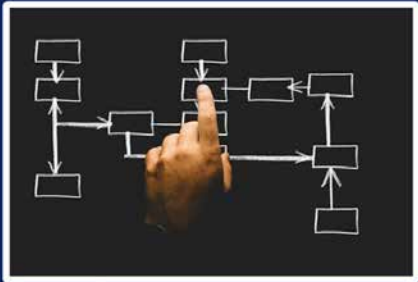
<https://www.dscu.edu/learning-guides>

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LOGISTICS

 Start Training (CLH)  Start Training

[Frequently Asked Questions](#) | [Details](#)

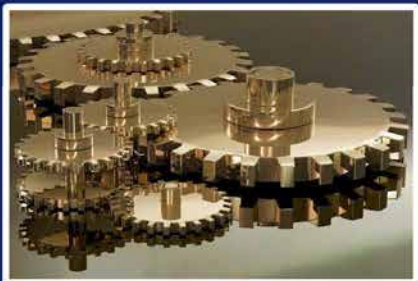


This learning guide is designed primarily for DoD and partner nation personnel whose duties involve the logistical support for FMS cases.

MILSTRIP FOR SECURITY COOPERATION

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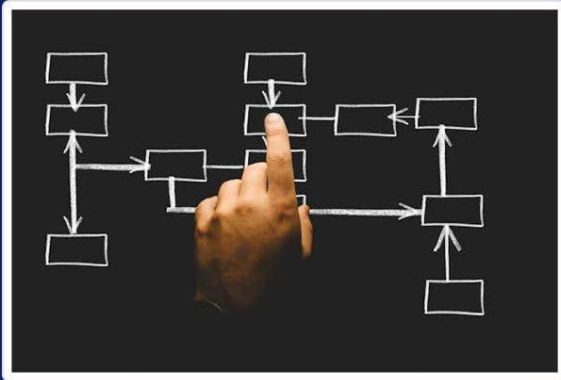
This learning guide is designed for FMS customers, SCO personnel, FMS case managers, program managers, transporters, contract administrators, logistics managers, and other personnel involved in the ordering, distribution, and/or movement of materiel to international partners through the DoD supply system.



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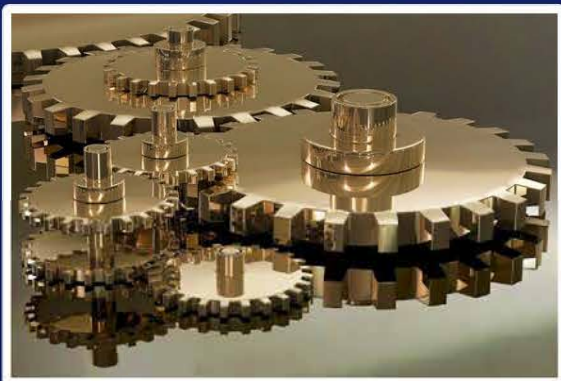


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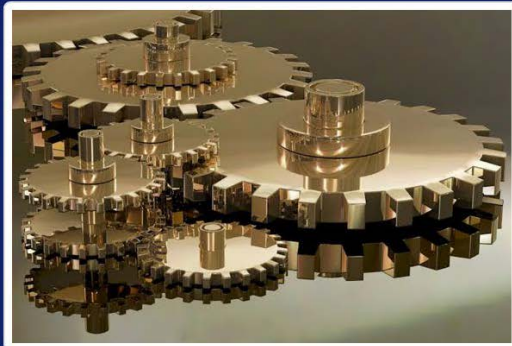


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UNDERSTANDING THE MAPAD

 [Start Training \(CLH\)](#)  [Start Training](#)

[Frequently Asked Questions](#) | [Details](#)



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SC-101 - SECURITY COOPERATION FAMILIARIZATION

SC-101 Security Cooperation Familiarization

- The Security Cooperation Familiarization course provides a brief introduction to the basics of Security Cooperation (SC).
- The purpose of the course is to expose students to what is Security Cooperation and Whole of Government Planning, identify Department of Defense and Department of State organizations with SC responsibilities, and introduce the laws, authorities, funding and programs that authorize SC activities.
- Self Paced Course (estimated 1 Hour in length)



Registration

- See the training manager at the Security Cooperation Office in the U.S. Embassy for registration/quota reservation process



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